

# **New Era Alkaloids & Exports Limited**

Registered Office: 408, Wallfort Ozone, Fafadih, Raipur (Chhattisgarh) 492001

[CIN NO: L24100CT1994PLC008842]

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**REF: NEWERA/MSEI/24-25/58**

13<sup>th</sup> April, 2024

Head- Listing & Compliance  
Metropolitan Stock Exchange of India Ltd. (MSEI)  
Vibgyor Towers, 4th floor,  
Plot No C 62, G - Block,  
Opp. Trident Hotel,  
Bandra Kurla Complex,  
Bandra (E), Mumbai – 400 098

Dear Sir/Madam,

**SUBMISSION OF CERTIFICATE UNDER REGULATION 40(10) OF SEBI (LISTING OBLIGATION & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED ON 31<sup>ST</sup> MARCH, 2024.**

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Pursuant to Regulation 40 (10) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015, please find attached herewith certificate issued by Gurminder Dhami & Associates, Practicing Company Secretary, Raipur (C.G) under the Regulation 40 (9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015, for the year ended on 31<sup>st</sup> March, 2024.

Kindly take the submission on records in compliance of the above mentioned regulations and acknowledge the same.

**Yours faithfully,**  
**For, New Era Alkaloids & Exports Limited**

**(Khushboo Rathi)**  
Company Secretary & Compliance Officer  
M. No.: A66878

Enclosure: As stated above

# GURMINDER DHAMI & ASSOCIATES

## Practicing Company Secretaries & TM Agent

Opposite V. C. Shukla House, Near City Kotwali,  
Budhapara, Raipur Chhattisgarh - 492001

(M): +91-8800568913

(M): +91-8800568609

Email: csgurminderdhami@gmail.com

### CERTIFICATE

*In terms of **Regulation 40(9)** of the Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015  
for the year ended 31/03/2024*

We have examined the relevant Books, Registers and Records relating to the transfer of securities of **NEW ERA ALKALOIDS AND EXPORTS LTD [CIN: L24100CT1994PLC008842]** as maintained and made available by **Beetal Financial & Computer Services P Ltd (SEBI Registration no. INR 000000262)**, the Registrar and Share Transfer Agents (RTA) of the Company for the period **1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024** for the purpose of issuing certificate pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and on the basis of such examination and on the basis of information provided to us by the Company and RTA and to the best of our knowledge **we hereby certify that during the period from 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024:**

1. No requests were received by the Registrar and Share Transfer Agent of the Company for transfer / transmission / transposition of shares.
2. No requests were received by the Registrar and Share Transfer Agent of the Company for sub-division (split), consolidation, renewal, exchange, issue of duplicate share certificates, or endorsement of calls/allotment monies.

Place: Raipur

Date: 12/04/2024

For GURMINDER DHAMI & ASSOCIATES,  
Company Secretaries



GURMINDER DHAMI, Prop.,  
ACS -39620, C.P. NO: 14724  
UDIN: A039620F000106940